

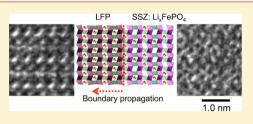
In Situ Observation of Random Solid Solution Zone in LiFePO₄ Electrode

Junjie Niu,^{†,‡} Akihiro Kushima,[†] Xiaofeng Qian,^{†,‡} Liang Qi,[†] Kai Xiang,[‡] Yet-Ming Chiang,[‡] and Ju Li^{*,†,‡}

[†]Department of Nuclear Science and Engineering, [‡]Department of Materials Science and Engineering, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, United States

Supporting Information

ABSTRACT: Nanostructured LiFePO₄ (LFP) electrodes have attracted great interest in the Li-ion battery field. Recently there have been debates on the presence and role of metastable phases during lithiation/delithiation, originating from the apparent high rate capability of LFP batteries despite poor electronic/ ionic conductivities of bulk LFP and FePO₄ (FP) phases. Here we report a potentiostatic in situ transmission electron microscopy (TEM) study of LFP electrode kinetics during delithiation. Using in situ high-resolution TEM, a Lisublattice disordered solid solution zone (SSZ) is observed to form quickly and



reach 10–25 nm \times 20–40 nm in size, different from the sharp LFPIFP interface observed under other conditions. This 20 nm scale SSZ is quite stable and persists for hundreds of seconds at room temperature during our experiments. In contrast to the nanoscopically sharp LFPIFP interface, the wider SSZ seen here contains no dislocations, so reduced fatigue and enhanced cycle life can be expected along with enhanced rate capability. Our findings suggest that the disordered SSZ could dominate phase transformation behavior at nonequilibrium condition when high current/voltage is applied; for larger particles, the SSZ could still be important as it provides out-of-equilibrium but atomically wide avenues for Li⁺/e⁻ transport.

KEYWORDS: Lithium ion phosphate, in situ transmission electron microscopy, solid solution zone, lithium ion battery

anostructured LiFePO₄ (LFP) electrodes have attracted great interest in the Li-ion battery field.^{1,2} On the basis of the bulk equilibrium phase diagram,³ at room temperature FP and LFP are the only two stable phases with low equilibrium solubility of Li ions/vacancies.⁴ They both have low ionic/ electronic conductivities due to the low intrinsic carrier concentrations. However, after modifications such as aliovalent doping,⁵ size reduction,⁶ and carbon coating,⁷ LFP electrodes display high rate and cycling capabilities. The discrepancy between the slow bulk kinetics and the superior practical performance requires a fundamental understanding of the lithiation/delithiation kinetics and structure of the LFPIFP interface.⁸⁻¹¹ One major debate focuses on the width, form, and role of a solid solution zone (SSZ) during battery charging and discharging. In many experiments, coexisting LFP and FP have been observed without a wide $Li_{x}FePO_{4}$ (0<x<1) intermediate. For example, Zhu et al. recently reported coexisting LFPIFP with an atomically sharp interface.¹² Chen et al. observed a narrow, disordered (width $\Lambda \sim 4$ nm) interface between LFP and FP.¹³ But on the other hand, highly ordered "staging" structures were also observed in partially delithiated LFP.^{14,15} A theoretical calculation shows that this structurally and chemically ordered "staging" structure is thermodynamically metastable but kinetically controlled state.¹⁶ Yamada et al. found the room-temperature miscibility gap in Li_xFePO₄ using powder neutron diffraction.¹⁷ They also visualized the lithium distribution along [010] direction by high-temperature neutron diffraction.¹⁸ Ogumi's group found the metastable phase during the nonequilibrium operation using time-resolved XRD

measurement.¹⁹⁻²¹ Sharma et al. detected the concurrent SSZ and two-phases reactions using in situ neutron powder diffraction at a low galvanostatic charging rate.²² Gibot et al. found the room-temperature single-phase lithium insertion/ extraction in \sim 40 nm LFP.²³ Kao et al.⁸ conducted in situ powder diffraction experiments on nanoscale LFP and found that at intermediate overpotentials, the transformation was accompanied by the loss of one crystalline phase without the appearance of the other, implying the formation of a structurally disordered phase, which may also have wider chemical freedom. Also, Tang et al.⁹ used a phase-field model to show that a crystalline-to-amorphous transformation could be energetically and kinetically favorable for nanoscale crystallites. Bazant et al.^{10,24,25} showed that above a critical current, normal phase separation would be suppressed and instead of a 2-phase "shrinking core", a spatially more uniform solid solution or "quasi-solid solution" mode of lithiation/ delithiation should occur. The above studies suggest the reaction landscape of LiFePO₄ \rightarrow FePO₄ is quite complex and multiple reaction pathways could be allowed depending on the detailed reaction condition.

According to Cahn–Hilliard theory,²⁶ the LiFePO₄ \rightarrow FePO₄ delithiation reaction, in an infinite-sized system and proceeding infinitely slowly, may involve an interface of finite width Λ across which composition may change (Li_xFePO₄). Λ

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may vary from less than 1 nm to hundreds of nanometers. Indeed, Cahn and Hilliard showed that as one approaches the critical temperature, the interfacial width Λ will diverge to infinity.²⁶ The "softer" the curvature of the chemical free energy versus composition curve g(c) in the intermediate *c*-region, the wider the transitional zone width Λ is. Malik, Zhou, and Ceder computed $g(c)^4$ at T = 0 K, which turns out to be quite flat. Thus, Λ of the LiFePO₄ \rightarrow FePO₄ interface may be "wide" at atomic scale. This is important because Li⁺/e⁻ conductivities may be much higher inside such an interface of noninteger xthan in either LiFePO₄ or FePO₄. (Bulk conductivity measurements at room temperature have been carried out only on the equilibrium phases LiFePO4 and FePO4, and not on Li_xFePO₄.) The consequence of this is a size effect: when the electrode particle size L is comparable to Λ_{i} in essence a significant portion of the entire electrode particle could be "interface", and the electrode kinetics could be faster than expected if the solid solution zone is involved.

The above is based on the equilibrium interface theory. Batteries are electrochemically pumped systems, so when the charging rate is high, significant deviation from the equilibrium case (charging rate = 0 C) could happen. In addition to influencing rate capability, the width Λ of the SSZ can have important structural health consequences. Zhu et al. found that a sharp LFPIFP interface¹² is often accompanied by misfit dislocations that nearly cancel the misfit strain ($\delta_{[100]} = 5\%$, $\delta_{010]} = 3.6\%, \, \delta_{011]} = -1.9\%$ and stress. While lower in energy, dislocations would pin down the interface and reduce its mobility. With dislocations tagging along the moving chemical interface, battery cycling likely leads to irreversible structural damage accumulation and fatigue of the ceramic electrode, which eventually leads to cracking. These problems would be less severe with a wide SSZ than with atomically sharp interfaces. The native misfit strain between solid solution and LFP or FP is less than between LFP and FP, therefore if a wide SSZ exists, the SSZ|LFP and SSZ|FP interfaces may not undergo coherent-to-incoherent transition as easily as the LFPI FP interface.¹² Therefore, out-of-equilibrium, nanoscopically wide interfaces may be the key for understanding the fast kinetics and long cycle life of LFP batteries, where nanoparticles are already adopted in industrial practice.

To resolve these questions, we have performed a direct observation of the electrode reaction in real time. Previously we used in situ TEM techniques to investigate the lithiation and delithiation of nanowires.^{27–29} This can also be an ideal approach to clarify the electrode kinetics of LFP. In this work, LFP NWs were synthesized using a modified electrospinning method (see Supporting Information Figure S1 and Methods). We first cycled the LFP NWs in a coin-cell battery with 1 M LiPF₆ salt in a mixture of propylene carbonate/ethylene carbonate/dimethyl carbonate (1:1:3) as electrolyte. Then, the electrochemically cycled LFP/FP NW was taken out of the macroscopic coin cell and put in the Nanofactory scanning tunneling microscope (STM)-TEM holder. The in situ TEM setup is schematically illustrated in Figure 1a. Figure 1b is the TEM image of the contact between LFP NW and the silicon nanowire (SiNW) that served as the lithium sink. To minimize electron beam damage, the beam was blanked during the experiment except during image capture. The rate of Li extraction/insertion under the potentiostatic conditions applied allowed us to record the phase transition in real time inside the TEM. The estimated charging rate, under 3 V bias against SiNW negative electrode, was roughly 1 C.

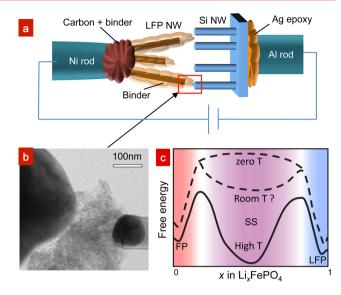


Figure 1. In situ TEM observation of LFP nanobattery and the schematic of free energy dependence on the lithium composition. (a) Experimental setup of LFP nanobattery inside TEM. (b) TEM image of the contact between LFP NW and Si NW. (c) Schematic of free energy versus composition g(c) for FP, SSZ, and LFP at high, low, and zero temperature, respectively.

In our experiment, we focused on observing the atomic structure in situ during the delithiation process to determine the width and form of the SSZ. A temperature-controlled XRD experiment by Delacourt et al. demonstrated the existence of SSZ in the phase diagram of Li, FePO₄ between the LFP and the FP phases above 150 °C.^{30°} This indicates that at higher temperatures there is a globally stable minimum for SSZ in the free energy landscape g(c) between FP and LFP, as schematically illustrated by the solid line in Figure 1c. The reason for this global minimum was revealed to be due to electronic entropy.³ At zero temperature, Malik et al.'s calculations showed that $g(c)^4$ is concave but quite flat. No bulk SSZ phases were observed under equilibrium condition at room temperature (RT). We therefore hypothesize that SSZ can be a RT metastable phase with a local (but not global) minimum in g(c) as indicated by the dashed line in Figure 1c. Furthermore, if a high potential or high current^{10,25} is applied the metastable SSZ may survive at room temperature with SSZ LFP and/or SSZIFP interfaces associated with its appearance also. This is the same picture as discussed in ref 16 except theirs was based on ex situ experiments, and the authors conclude the "staging" structure was highly ordered^{14,15} structurally and chemically,¹⁶ like intermetallic compounds. Below, we present our in situ TEM observation of the SSZ and the SSZ|LFP interface, showing a chemically disordered, extended SSZ between the LFP and the FP phases under nonequilibrium conditions corresponding to dynamic charging.

Figure 2a–c exhibits high-resolution TEM images of LFP NW during the electrochemical delithiation. Shown in Figure 2a inset is the fast Fourier transform from the central area. Figure 2d,e depicts the magnified views of the dotted red rectangle in Figure 2a,b. The *d*-spacings of 10.3 and 4.69 Å at the initial state correspond to the (100) and (001) planes of LFP, respectively. After contacting SiNW, a positive voltage of 3.0 V was applied to the cell. The residual LiPF₆ resides with the poly(vinylidene fluoride) (PVDF) binder in the coin cell to form a solid electrolyte coating the LFP, which is the white soft

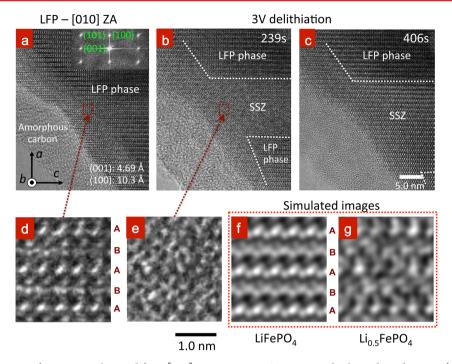


Figure 2. Delithiation process of a LFP crystal viewed from [010] zone axis using SiNW as anode electrode under +3 V (a-c). (a) The LFP has a clear crystal structure before applying the voltage. The FFT conversion (inset) indicates the lattice spacings of (100) and (001), which are 10.3 and 4.69 Å, respectively. A thin amorphous carbon shell (~9 nm) was also observed. (b) A clear SSZ of 11×22 nm² and a boundary propagating along a direction were developed at 239 s. The boundary between SSZ and LFP is marked by the dashed white lines. (c) The SSZ and boundary evolution at 406 s. (d,e) The magnified images of the dotted red rectangle in (a,b), respectively. The electron beam was blanked except for the image capture during the entire delithiation process. The simulated TEM images of (f) LiFePO₄ and (g) Li_{0.5}FePO₄ are shown for comparison.

layer between the LFP and SiNW seen in TEM (Figure 1 and electron energy loss spectroscopy data in Supporting Information Figure S2); this layer is a good ion conductor but poor electron conductor.³¹ Also, because the initial lithiation of the SiNW occurs at ~0.8 V versus Li⁺/Li,²⁹ a solid-electrolyte interphase (SEI) is expected to form on the SiNW via reduction of the alkyl carbonate solvents. The solid polymer electrolyte coating LFP and the possible SEI on SiNW surface prevent electrical short-circuit between the two electrodes and make the reaction that we observe an electrochemical reaction instead of a chemical reaction. At 239 s, a relatively large SSZ with a size of about 11×22 nm² appeared (between the dashed white lines in Figure 2b). The lattice fringes in the SSZ break the period-2 superlattice fringes in the LFP zone. The speed of growth of SSZ in a was approximately 2.8 nm/min. The boundary migration along caxis was about twice as fast as that along *a*. Figure 2e shows that significant disorder occurs in the SSZ during the delithiation process compared to the initial LFP (Figure 2d). Our LFP nanowires have a diameter of 200-400 nm and therefore do not represent nanoparticles adopted in industrial practice per se, even though our observations of the phase transition take place at nanometer scale.

To analyze the effect of the Li extraction on the observed images, TEM image simulations were performed using QSTEM software,³² which is based on the multislice method proposed by Cowley et al.³³ The simulated images of LiFePO₄ and Li_{0.5}FePO₄ are shown in Figure 2f,g, respectively. Li atoms were randomly removed from LiFePO₄ lattice to create the Li_{0.5}FePO₄ SSZ atomic structure with Li-sublattice disorder (see Methods for details). Because the lattice structure of Li_xFePO₄ can change continuously during LFP to FP through solid solution phase,³⁰ we assumed 0.5 as an average *x* in the

SSZ for the purpose of comparison. The simulated image of LiFePO₄ (Figure 2f) closely matches the initial LFP (Figure 2d) TEM image. Furthermore, the simulated $\text{Li}_{0.5}\text{FePO}_4$ images show randomness patterns (Figure 2g and Supporting Information Figure S3) very similar to that observed in Figure 2e without the period-2 lattice fringes. It is worth noting that the Li_{0.5}FePO₄ SSZ still maintained the olivine lattice topology although the atoms deviated from the perfect lattice points, generating nanoscale residual strain and stress fluctuations (Supporting Information Figure S4). Offsets of the atoms in Li_xFePO₄ upon Li extraction and residual stress fluctuations give a large difference in the observed TEM image compared to pristine LiFePO₄.

To unambiguously distinguish LFP and SSZ from the in situ TEM images, it is important to notice that the (100) plane of pure LFP displays a clear lattice spacing of 10.3 Å (A lines with bright spots and B lines with dimer spots in Figure 2a and d). This is confirmed in the simulated TEM image (Figure 2f) where a clear contrast difference is observed for A and B lines in pure LFP. As we can see from the simulated TEM image in Figure 2g, when part of lithium ions was extracted to create the SSZ, the contrast difference between A and B planes were significantly reduced, and the image pattern was distorted. Nine other simulated structures with randomly varied Li vacancy distributions also show similar effects (Supporting Information Figure S3). As a result, we cannot clearly distinguish the A and B planes in the SSZ, which are similar to what we observed in Figure 2b,e. Although the exact Li⁺ concentration cannot be quantified by comparing the two TEM images, the origin of the difference can be explained by Li-sublattice disorder and the induced lattice distortion for Li_{0.5}FePO₄. This shows that randomly distributed Li⁺/vacancies can cause a large difference

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in TEM images compared to pristine LFP and in turn the images support the existence of the SSZ.

Figure 2c exhibits clear lattice fringes again at 406 s in the zone where the disordered SSZ was observed in Figure 2b. However, the recovery of the lattice does not indicate the zone was fully delithiated to the FP phase. Li flow from neighboring areas may fill the vacancies in this zone, while the boundaries of the SSZ remain relatively static following the compositional perturbation.

Figure 3 and Supporting Information Movie S1 reveal a SSZ evolution along the [100] direction during delithiation at a

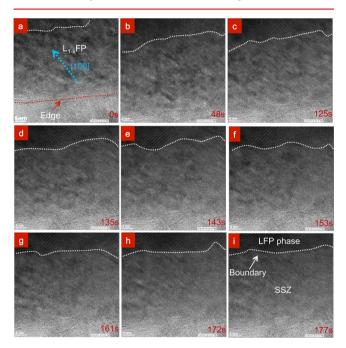


Figure 3. Dynamic evolution of the SSZ boundary during the 1st delithiation using SiNW as anode under +4 V. The boundary between SSZ and LFP is indicated by the dashed white lines. The SSZ edge connected to the amorphous carbon shell is marked with dashed red line.

higher cell voltage of 4 V. Before we applied the voltage, a portion of the Li ions was extracted spontaneously as the cell equilibrated (Figure 3a). The SSZ appeared and very rapidly reached about 30 nm (dashed white line) from the edge (dashed red line in Figure 3a) after which the boundary migration rate fell to ~ 2.8 nm/min along *a* (estimated based on the boundary displacement from Figure 3 panel d to panel i), which agrees with the velocity estimated for Figure 2. However, the Li^+ extraction continued until ~177 s in the course of which the TEM contrast constantly fluctuated (Figure 3i and Supporting Information Movie S1), indicating active Li⁺ transport and the associated dynamic residual strain fluctuations. The movie shows that although nanoscale domains with clearly defined lattice fringes persist throughout, regions without visible order also appear and disappear. The LFP phase (above the white dashed line in Figure 3) exhibits a clearcut lattice spacing of ~ 10.3 Å that corresponds to the (100) plane. However, ascribing to the striped contrast change from the lattice deformation, the compartmentalized fine fringes with about half spacing of \sim 5.15 Å that coincides with (200) plane in SSZ are observed (below the white dashed line in Figure 3ai and Supporting Information Movie S1). The absence of visible

lattice strain relaxation within the SSZ (as the coherency stresses should be quite large), supports the premise of no misfit dislocation generation, unlike the stress-relaxed chemically sharp LFPIFP interface.¹² As the extraction of Li-ions continued, the lattice fringes in this SSZ dynamically varied (Figure 3a–i), but no permanent dislocations were found, unlike in ref 3. This is significant, because without misfit dislocations tagging along the moving chemical interface and reaction front, such coherent interfaces should have higher mobility and move faster during lithiation/delithiation than semicoherent interfaces and should also leave less structural fatigue damage in battery cycling.

Our observations indicate that SSZ prefers to initiate from the sample surface, where it is in direct contact with the solid electrolyte. First, the SSZ forms and propagates forward at a relatively fast speed (Figure 4a and Supporting Information

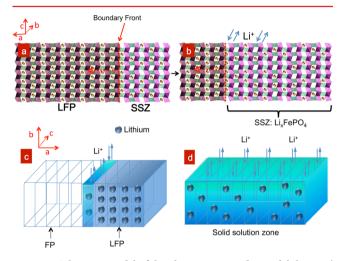


Figure 4. Schematic model of the phase transition during delithiation/ lithiation process in LFP nanoparticle. (a,b) SSZ migration in *ac* plane during the delithiation process. The boundary propagation speed along *a* is closed to 2.8 nm/min. Yellow balls represent Li ions, purple facets represent FeO₆ octahedra, and green/blue facets represent PO₄ tetrahedra. (c) Lithium ions are inserted/extracted into/out the particle (blue arrows) from the formed sharp interface boundary between full (LFP) and empty (FP) channels. (d) Plenty of lithium ions are inserted/extracted into/out the particle from the surface of big solid solution zone.

Figure S5). The second step is the lithium ions refilling into/ extraction out of the newly generated SSZ until the Li positions are fully occupied/vacated (Figure 4b and Supporting Information Figure S5b). In our in situ TEM observations, we do not find a two-phase "shrinking core" mode of propagation (Figures 2 and 3 and Supporting Information Movie S1). According to both simulations^{34,35} and experiments,^{13,36} lithium diffusion mobility in the well-ordered crystal is believed to follow $v_b > v_c > v_a$, where v_i is the Li⁺ mobility along crystal direction *i*. Our estimated SSZ boundary migration speed during delithiation was also found to be anisotropic with $v_c > v_a$ (Figure 2). In contrast to a nanoscopically narrow LiFePO₄|FePO₄ interface (Figure 4c), the existence of a wide and crystalline SSZ without dislocations should improve cyclability and enhance rate capability (Figure 4d). To confirm that the electron beam did not cause the lattice distortion, we irradiated the sample with the same dose but in the absence of electrochemical reaction. No obvious lattice

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change was observed even after 602 s, as depicted in Supporting Information Figure S6 and Movie S2.

The SSZ width Λ should depend on the charging current, the particle size, the surface condition, and other variables.^{4,37,38} The transient 20 nm scale SSZ we found appears to be a direct consequence of dynamic charging (~ 1 C rate). We found only LFP and FP phases by bulk X-ray diffraction measurement of the delithiated materials taken out from a coin cell with no evidence of a SSZ phase (Supporting Information Figure S7b).³⁶ Our ex situ observation cannot capture the dynamic process of the SSZ formation.³⁰ Recent models^{4,10,24,25} for metastable crystalline solid solutions suggest such transitions should be possible at all particle sizes as long as the charging rate is high enough. We believe that LFP with a size scaled down to our observed SSZ width, for instance, 50 nm, may exhibit delithiation with the entire particle as random solid solution. We did not observe highly ordered staging structure as reported for partially delithiated LFPs by chemical delithiation¹⁵ or slow charging,¹⁴ where Li⁺ ions are extracted from every other layer. Depending on the charge rate and the sample size, Li⁺ ions may be extracted from multiple channels simultaneously leading to a solid solution type reaction.³⁹ A nonequilibrium solid solution, whether ordered^{4,10,24,25} or disordered,^{8,9} may play a critical role in the observed high rate capability of nanoscale LFP electrodes. Reduced misfit dislocation generation compared to atomically sharp LFP/FP phase boundaries during lithiation of FP¹² should increase interfacial mobility,³⁷ because dislocations trap and pin down the chemical interface. Dislocation generation would also create means for damage accumulation and mechanical fatigue. Without misfit dislocations to relax the coherency stress, the large coherency stress will help propagate the phase transformation.³⁷ The present in situ observation confirms the presence of a Li-sublattice disordered solid solution zone under dynamic conditions, the further manipulation and control of which may lead to improved properties in olivines and other families of cathodes.

Methods. The LFP NWs were synthesized using wetchemical and electrospinning methods. After heating the raw sample at 650 °C for 6 h, the polymer was carbonated to amorphous carbon shell surrounding the LFP (see Supporting Information Full Methods and Figure S1). The in situ TEM setup for LFP \rightarrow FP phase transition observation is shown in Figure 1. The electrochemically cycled LFP material was taken out from a coin cell (see battery performance in Supporting Information Figure S7a). As shown in Figure 1, a freshly opened LFP NW was picked up using a Ni rod. Another SiNW with ~130 nm in diameter grown on a silicon wafer was attached to an Al rod, which was used as the anode electrode. After contacting the LFP and the SiNW using a piezomanipulator, a bias potential was applied to the LFP NW inside TEM. The value of the bias voltage indicated hereafter is the one applied to LFP with respect to the counter electrode unless otherwise stated (Supporting Information, Full Methods). TEM image simulations were done using QSTEM software based on the multislice method,^{32,33} and realistic model for partially lithiated LFP, for example, Li_{0.5}FePO₄, was created by ab initio structural optimizations using Vienna Ab-initio Simulation Package. See Supporting Information for more details.

ASSOCIATED CONTENT

S Supporting Information

Supporting figures/movies and Full Method. This material is available free of charge via the Internet at http://pubs.acs.org.

AUTHOR INFORMATION

Corresponding Author

*E-mail: liju@mit.edu.

Author Contributions

J.L. conceived the project. J.J.N. synthesized samples, performed battery tests, and organized the manuscript. A.K. and J.J.N. performed the in situ TEM observation and characterization. A.K. and X.F.Q. carried out ab initio structural optimization and TEM simulation. All authors analyzed the data, contributed to the discussion, and wrote the manuscript.

J.J.N. and A.K. contributed equally to this work.

Notes

The authors declare no competing financial interest.

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